Balanced Allocations with Incomplete Information: The Power of Two Queries

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Balanced allocations: Background

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Applications in hashing, load balancing and routing.

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at least $1 - n^{-c}$ for constant $c > 0$.

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$(1 + \beta)$ -Process: Definition

 $\begin{array}{l} (1+\beta)\text{-Process:}\\ \hline \text{Parameter: A mixing factor } \beta \in (0,1].\\ \hline \text{Iteration: For each } t \geq 0, \text{ with probability } \beta \text{ allocate one ball via the Two-CHOICE}\\ \\ \text{process, otherwise allocate one ball via the ONE-CHOICE process.} \end{array}$

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In the heavily-loaded case, [PTW15] proved that w.h.p. $\operatorname{Gap}(m) = \Theta(\log n/\beta)$ for $1/n \le \beta < 1 - \epsilon$ for any constant $\epsilon > 0$.

k-Threshold and k-Quantile

 $\begin{array}{l} \hline \mbox{Adaptive Threshold}(f) \mbox{ Process:} \\ \hline \mbox{Parameter: A threshold function } f(x^t). \\ \hline \mbox{Iteration: For } t \geq 0, \mbox{ sample two bins } i_1 \mbox{ and } i_2 \mbox{ independently and u.a.r. Then, update:} \\ \hline \mbox{ } \begin{cases} x_{i_1}^{t+1} = x_{i_1}^t + 1 & \mbox{ if } x_{i_1}^t < f(x^t), \\ x_{i_2}^{t+1} = x_{i_2}^t + 1 & \mbox{ otherwise.} \end{cases}$

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Parameter: A threshold function $f(x^t)$.

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QUANTILE: Open in Visualiser.









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- **[IK05]** analysed the lightly-loaded case for *equidistant thresholds*.



k-Quantile process

Similarly, we can extend 1-QUANTILE to obtain the k-QUANTILE process.



Any adaptive1-QUANTILE/1-THRESHOLD process has w.h.p. $\operatorname{Gap}(m) = \Omega(\log n / \log \log n)$ for some $m \in [1, n \log^2 n]$ (disproves [FGG21, Problem 1.3]).

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- Use *layered induction* over *super-exponential potential* functions. → Might be helpful in analyzing other processes.

Lower bound: Proof Outline

Lower bound proof (I)

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For any adaptive QUANTILE(δ) (or THRESHOLD(f)) process \mathcal{P} ,

$$\mathbf{Pr}\left[\max_{t\in[0,n\log^2 n]}\operatorname{Gap}(t)\geq \frac{1}{8}\cdot\frac{\log n}{\log\log n}\right]\geq 1-o(n^{-2}).$$

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- Hence, the $\operatorname{Gap}(m) = \Omega(\log n)$ remains.



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Upper bound: Proof outline

k-Quantile process

Theorem

Consider the QUANTILE $(\delta_1, \delta_2, \dots, \delta_k)$ process with

$$\delta_j := \begin{cases} e^{-\frac{1}{4}(\log n)^{(k-j)/k}} & \text{if } j < k\\ \frac{1}{2} & \text{if } i = k. \end{cases}$$

For any step $m \ge 0$, $\Pr\left[\operatorname{Gap}(m) = \mathcal{O}(k \cdot (\log n)^{1/k})\right] \ge 1 - n^{-3}.$



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By Markov's inequality, we get $\mathbf{Pr} \left[\Gamma^m \leq c n^3 \right] \geq 1 - n^{-2}$ which implies

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In [PTW15], $\gamma = \mathcal{O}(1)$ so the tightest gaps proved were $\mathcal{O}(\log n)$.

[TW14] used this as a base case for TWO-CHOICE in the heavily-loaded case.

We define the following super-exponential potential functions for $0 \le j < k$ and $t \ge 0$:

$$\Phi_{j}^{t} := \sum_{i=1}^{n} \exp\left(\gamma \cdot (\log n)^{j/k} \cdot \left(x_{i}^{t} - \frac{t}{n} - \frac{2}{\gamma} j(\log n)^{1/k}\right)^{+}\right),$$

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■ So, after $s = n \cdot \text{polylog}(n)$ steps we get $\mathbf{E} \left[\Phi_j^{t+s} \middle| \Phi_0^t = \mathcal{O}(n), \cap_{\tau \in [t,t+s)} \mathcal{G}_j^{\tau} \right] = \mathcal{O}(n).$

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Proving $Gap(m) = \mathcal{O}(k \cdot (\log n)^{1/k})$



Technique 2: Proving Φ_i^t is linear w.h.p.

Assume that $\mathbf{E}\left[\Phi_{j}^{\tau}\right] = \mathcal{O}(n)$ and \mathcal{G}_{j}^{τ} for all $\tau \in [t, t + n \cdot \operatorname{polylog}(n))$.

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- When $\Phi_j^{\tau} = \text{poly}(n)$, then $|\Psi_j^{\tau+1} \Psi_j^{\tau}| < n^{1/3}$.
 - Hence, we apply a bounded difference inequality to get that w.h.p. $\Psi_i^{\tau} = \mathcal{O}(n)$.

Summary of results:

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Implications:

- Introduced a *k*-QUANTILE process which achieves w.h.p. $\operatorname{Gap}(m) = \mathcal{O}(k \cdot (\log n)^{1/k})$.
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 - ▶ Tighter upper bounds for d-THINNING

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 - ► For $k = \Theta(\log \log n)$, we recover the $\operatorname{Gap}(m) = \mathcal{O}(\log \log n)$ for Two-CHOICE (power of two choices).
 - ▶ Tighter upper bounds for *d*-THINNING and $(1 + \beta)$ for β close to 1.

- Introduced a k-QUANTILE process which achieves w.h.p. $\operatorname{Gap}(m) = \mathcal{O}(k \cdot (\log n)^{1/k})$.
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Future work:

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Future work:

- Prove *lower bounds* for adaptive k-QUANTILE for $k \ge 2$.
- Prove similar *upper bounds* for k-THRESHOLD.

Summary of results:

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Future work:

- Prove lower bounds for adaptive k-QUANTILE for $k \ge 2$.
- Prove similar *upper bounds* for k-THRESHOLD.
- Analyse **Two-CHOICE** with *noise*.

Questions?

More visualisations: dimitrioslos.com/itcs22

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Appendix

Appendix A: Detailed experimental results

$(1 + \beta)$ -process,	k-Quantile				Two Choice
for $\beta = 1/2$	k = 1	k = 2	k = 3	k = 4	I WO-CHOICE
20 : 2%					
21:7%					
22 : 9%	8:28%				
23:26%	9:42%				
24:27%	10:18%	4:72%	9. 4607	9.70%	
25:14%	11:7%	5:26%	3:4070 4.5407	3:7970 4:9107	3:100%
26 : 6%	12 : 3%	6 : 2%	4.0470	4.2170	
27 : 3%	14 : 1%				
28:4%	15 : 1%				
29 : 1%					
34 : 1%					

Table: Empirical distribution of the Gap for $n = 10^5$ bins and $m = 1000 \cdot n$ balls.

Appendix B: Random *d*-regular graphs



Figure: Average Gap for graphical allocations on *d*-regular graphs generated using [SW99] for $n \in \{10^3, 10^4, 5 \cdot 10^4\}$ bins and $m = 1000 \cdot n$ balls.

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