Naively sorting evolving data is optimal and robust

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Background

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 - ▶ *Open problem:* Does NAIVE-SORT achieve the optimal mdev and tdev?

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 - ▶ Why the normal exponential potential does not work
 - ▶ Why a *variant* using gaps does work

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 - ▶ Why a *variant* using gaps does work
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Part B: (Brief) outline for the tdev = O(n) bound.

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Analysis

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Main idea: Add $n \cdot (d-1)$ gaps to make distances non-uniform.

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so that no item has a gap to its *left/right* if its target is to the *left/right*.

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Swapping values (2, 6) gives

$$l_t = \left(\underline{\bot}, \underline{\bot}, \underline{\bot}, \underline{\bot}, \underline{\bullet}, \frac{\mathbf{6}}{3}, \mathbf{2}, \underline{\bot}, \underline{\bot}, 5, 7, \underline{1}, 4, \underline{3}_{7} \right)$$

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Our aim is to decouple sorting and mixing steps.

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and so

$$\operatorname{mdev}(\pi_{t+kn}) = O(\sqrt{(\Delta_t + \log n) \cdot \log n}).$$

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$$\mathbf{E}\left[\Phi_{t+kn}'|\Phi_t\right] \le \Phi_t \cdot \left(1 - \Omega\left(\frac{1}{n}\right)\right)^{kn} \le \Phi_t \cdot e^{-\Omega(k)} \le n \cdot e^{\alpha \Delta_t} \cdot e^{-\Omega(k)},$$

where $\Delta_t = \text{mdev}(\pi_t)$. By "resetting" the targets, for $k = \Omega(\Delta_t + \log n)$ we have that

$$\mathbf{E}\left[\Phi_{t+kn}|\Phi_{t}\right] \leq n \cdot e^{O(\sqrt{(\Delta_{t}+\log n) \cdot \log n})}$$

and so

$$\operatorname{mdev}(\pi_{t+kn}) = O(\sqrt{(\Delta_t + \log n) \cdot \log n}).$$

By applying *iteratively*, after $m = \Omega(n \cdot (\Delta_0 + \log n))$ steps w.h.p. $mdev(\pi_m) = O(\log n)$ and $tdev(\pi_m) = O(n \log n)$.

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Convergence time bounds are tight.

Bounds hold for relaxed mixing steps.

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Part B: The tdev = O(n) bound

Why the previous analysis does not extend

The previous analysis uses long intervals of length kn.

Problem: When $k = o(\log n)$, then $mdev(\tau) = \omega(k)$.

For example, when $k = \Theta(1)$, it follows that $mdev(\tau) = \Theta(\log n / \log \log n)$, and so the previous upper bound *does not* guarantee a decrease

 $\mathbf{E}\left[\Phi_{t+kn}|\Phi_{t}\right] \leq n \cdot e^{-\Theta(1)} \cdot e^{\Theta(\log n/\log \log n)} = n \cdot e^{\Theta(\log n/\log \log n)}.$

Obsrvation: We are assuming worst-case bound on displacement for *all* items in τ .

Solution: Reset targets only for items with small displacements (those below $O(k^{2/3})$).

▶ *Small displacements*: handle as in previous analysis.

▶ Large displacements: show that on aggregate they don't contribute much.

We prove a concentration inequality (c.f. [LS22]) showing that

$$\mathbf{Pr}\left[\Phi_t \le n \cdot e^{O(k^{2/3})}\right] \ge 1 - n^{-2}.$$

which implies that aggregate contribution of large displacements is $n \cdot e^{-\Omega(k^{1/3})}$.

Putting it all together

We repeat for $\Theta(\log \log \log n)$ iterations.

In iteration i, we set $k_{i+1} = (k_i)^{2/3}$.



Conclusions

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In this work, we have shown

■ NAIVE-SORT achieves the *optimal* mdev and tdev for any const b ≥ 1.
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Several directions for future work:

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Handle erroneous comparisons (see *biased card shuffling* [DR00, BBHM05]).

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- Use NAIVE-SORT to other problems with evolving data.
- Apply *analysis techniques* to related problems.

For more visualisations, see: team.inria.fr/wide/papers/focs24

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